

# The Mermin-Wagner theorem and the Kosterlitz-Thouless transition

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## Introduction

- Symmetry breaking
- Mermin-Wagner theorem

## The XY model

- Hamiltonian
- Proof of MWT
- Correlation length

## Kosterlitz-Thouless phase transition

# SSB and phase transition

- ▶ Symmetry  $\leftrightarrow$  Hamiltonian invariant under transformation
- ▶ Physical states not necessarily invariant, e.g. Ising model
- ▶ Leads to phase transition, e.g.

$$\langle m \rangle = 0 \xrightarrow{T < T_c} \langle m \rangle \neq 0$$

However, **no** equivalence between the two.

## Theorem (Mermin-Wagner)

*There is no spontaneous symmetry breaking in systems with short-range interactions and a continuous symmetry in  $d \leq 2$ .*

$$\mathcal{H} = - \sum_{i,j} J_{ij} \vec{s}_i \cdot \vec{s}_j - h \sum_i (\vec{s}_i)_z e^{-i\vec{k} \cdot \vec{r}_i}$$

$$\frac{1}{2} \langle \{\hat{A}, \hat{A}^\dagger\} \rangle \geq k_B T |\langle [\hat{C}, \hat{A}] \rangle|^2 / \langle [[\hat{C}, \hat{H}], \hat{C}^\dagger] \rangle$$

$$|s_z| \leq \frac{\text{const.}}{T^{1/2}} \frac{1}{|\ln(|h|)|^{1/2}}$$

# The XY model

Like the Ising model in 2D

$$\begin{aligned}\mathcal{H} &= -J \sum_{\langle ij \rangle} \vec{S}_i \cdot \vec{S}_j, \quad \vec{S}_i = (\cos \theta_i, \sin \theta_i) \\ &= -J \sum_{\langle ij \rangle} \cos \theta_i \cos \theta_j + \sin \theta_i \sin \theta_j \\ &= -J \sum_{\langle ij \rangle} \cos(\theta_i - \theta_j)\end{aligned}$$

Use the Schwarz inequality

$$\langle AA^* \rangle \geq \frac{|\langle AB^* \rangle|^2}{\langle BB^* \rangle}$$

$$A = \frac{1}{N} \sum_j e^{-i\vec{q} \cdot \vec{r}_j} \sin \phi_j$$

$$B = \frac{1}{N} \sum_l e^{-i\vec{q} \cdot \vec{r}_l} \frac{\partial H}{\partial \phi_l}$$

# Correlation length (high temperature)

$$\begin{aligned}\langle \vec{S}_0 \cdot \vec{S}_r \rangle &= \langle \cos(\theta_0 - \theta_r) \rangle \\ &= \frac{1}{Z} \prod_{i=1}^N \left( \int_0^{2\pi} \frac{d\theta_i}{2\pi} \right) \cos(\theta_0 - \theta_r) e^{K \sum_{\langle i,j \rangle} \cos(\theta_i - \theta_j)} \\ &= \frac{1}{Z} \prod_{i=1}^N \left( \int_0^{2\pi} \frac{d\theta_i}{2\pi} \right) \cos(\theta_0 - \theta_r) \prod_{\langle i,j \rangle} [1 - K \cos(\theta_i - \theta_j) + O(K^2)]\end{aligned}$$

$$\begin{aligned}\int_0^{2\pi} \frac{d\theta_1}{2\pi} \cos(\theta_1 - \theta_2) &= 0 \\ \int_0^{2\pi} \frac{d\theta_2}{2\pi} \cos(\theta_1 - \theta_2) \cos(\theta_2 - \theta_3) &= \frac{1}{2} \cos(\theta_1 - \theta_3)\end{aligned}$$

# Correlation length (low temperature)

Use spin wave approximation

$$\begin{aligned} -\beta\mathcal{H} &= \beta J \sum_{\langle ij \rangle} \left( 1 - \frac{1}{2}(\theta_i - \theta_j)^2 \right) \\ &= -\frac{K}{2} \int (\nabla\theta)^2 \end{aligned}$$

$$\begin{aligned} \langle \vec{S}_0 \cdot \vec{S}_r \rangle &= \langle \cos(\theta_0 - \theta_r) \rangle \\ &= \langle e^{i(\theta_0 - \theta_r)} \rangle \end{aligned}$$

Gaussian integration

$$\langle e^{i(\theta_r - \theta_0)} \rangle = e^{-\frac{1}{2} \langle (\theta_r - \theta_0)^2 \rangle}$$



# Phase transition

- ▶ High T:  $\langle \vec{S}_0 \cdot \vec{S}_r \rangle \sim \exp(-r/\xi)$ ,  $\xi \approx \frac{1}{\ln(2/K)}$
- ▶ Low T:  $\langle \vec{S}_0 \cdot \vec{S}_r \rangle \sim r^{-1/2\pi K}$

Spin wave approximation has to break down at some  $T$ .  
Kosterlitz and Thouless proposed topological defects as the origin of this phase transition.

# Vortices

$$\theta(\vec{r}) = n\phi + c, \quad \text{winding number } n$$

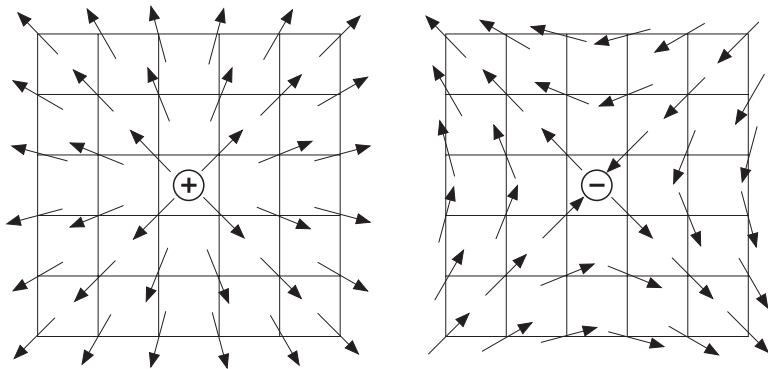


Image: *Statistical Physics of Fields* (Kardar)

## Vortex energy and entropy

$$(\nabla\theta)_r = \frac{\partial\theta}{\partial r} = 0, \quad (\nabla\theta)_\phi = \frac{1}{r} \frac{\partial\theta}{\partial\phi} = \frac{n}{r}$$

$$E = \frac{J}{2} \int \frac{n^2}{r^2} r dr d\phi = n^2 \pi J \ln \frac{R}{r_0} + E_C$$

$$S = \ln \left( \frac{R}{r_0} \right)^2 = 2 \ln \left( \frac{R}{r_0} \right)$$

# (Un)binding of vortices

Free energy change from one vortex

$$\Delta F_1 = (\pi J - 2T) \ln \frac{R}{r_0}$$

$$T_{KT} = \pi J / 2$$

Simple approximation, neglects vortex interaction. More sophisticated RG methods exist.

$$E = \left( \sum_i n_i \right)^2 \pi J \ln \frac{R}{r_0} + E_C$$

# Mapping to 2D Coulomb gas

Setting  $\vec{v} \equiv \nabla\theta$

$$\begin{aligned}\oint \vec{v} \cdot d\vec{r} &= \int_0^{2\pi} \frac{1}{r} r d\phi = 2\pi \\ &= \int (d^2x \hat{z}) \nabla \times \vec{v}\end{aligned}$$

It follows that

$$\nabla \times \vec{v} = 2\pi \sum_i n_i \delta(\vec{r} - \vec{r}_i) \equiv 2\pi N(\vec{r})$$

## Mapping to 2D Coulomb gas (cont.)

Expressing  $\vec{v}$  in cartesian coordinates

$$v_x = -\frac{y}{r^2}, \quad v_y = \frac{x}{r^2}$$

Introduce scalar field  $\psi = -\log(r/r_0)$

$$v_x = \frac{\partial \psi}{\partial y}, \quad v_y = -\frac{\partial \psi}{\partial x}$$

Or generally





$$\psi(\vec{r}) = -\sum_i \log \frac{|\vec{r} - \vec{r}_i|}{r_0}$$

## Mapping to 2D Coulomb gas (cont.)

The energy then is

$$\begin{aligned} E &= \frac{J}{2} \int (v_x^2 + v_y^2) dx dy = \frac{J}{2} \int (\nabla\psi)^2 dx dy \\ &= -\frac{J}{2} \int (\psi \nabla^2 \psi) dx dy \\ &= -\pi J \sum_{i \neq j} n_i n_j \log \frac{|\vec{r}_i - \vec{r}_j|}{\vec{r}_0} + E_C \end{aligned}$$

# References

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